

Indistinguishability and multidimensional Sturmian configurations

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Dyadisc 5

June, 2022

- Let A be a finite set and $d \geq 1$ be an integer.
- A configuration is a map $x: \mathbb{Z}^d \rightarrow A$.

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- A configuration is a map $x: \mathbb{Z}^d \rightarrow A$.

For example if $d = 2$ and $A = \{0, 1, 2\}$ a configuration looks like:

1	0	2	2	1	0	2	1	0	2	1	1	0	2	1
0	2	1	1	0	2	1	0	2	1	0	2	2	1	0
2	1	0	2	2	1	0	2	1	0	2	1	1	0	2
1	0	2	1	1	0	2	1	0	2	1	0	2	2	1
0	2	1	0	2	2	1	0	2	1	0	2	1	1	0
2	1	0	2	1	1	0	2	1	0	2	1	0	2	2
1	0	2	1	0	2	2	1	0	2	1	0	2	1	1
0	2	1	0	2	1	1	0	2	1	0	2	1	0	2
2	1	0	2	1	0	2	2	1	0	2	1	0	2	1
1	0	2	1	0	2	1	0	2	2	1	0	2	1	0
2	2	1	0	2	1	0	2	1	1	0	2	1	0	2
1	1	0	2	1	0	2	1	0	2	2	1	0	2	1
0	2	2	1	0	2	1	0	2	1	1	0	2	1	0
2	1	1	0	2	1	0	2	1	0	2	2	1	0	2
1	0	2	2	1	0	2	1	0	2	1	1	0	2	1

We say two configurations $x, y \in A^{\mathbb{Z}^d}$ are **asymptotic** if there exists a finite $F \subset \mathbb{Z}^d$ such that $x|_{\mathbb{Z}^d \setminus F} = y|_{\mathbb{Z}^d \setminus F}$.

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Given asymptotic x, y , we call $F = \{n \in \mathbb{Z}^d : x_n \neq y_n\}$ their **difference set**.

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Let σ be the \mathbb{Z}^d action of $A^{\mathbb{Z}^d}$ given by

$$\sigma^n(x)(m) = x(n + m) \text{ for every } n, m \in \mathbb{Z}^d.$$

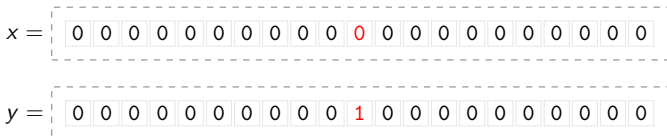
x, y are asymptotic if and only if for any sequence $(n_k)_{k \in \mathbb{N}}$ in \mathbb{Z}^d with $\|n_k\| \rightarrow \infty$ then $d(\sigma^{n_k}(x), \sigma^{n_k}(y)) \rightarrow 0$.

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$$\begin{aligned} \sigma(x) &= \boxed{0 \ 0} \\ \sigma(y) &= \boxed{0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 1 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0} \end{aligned}$$

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$$\sigma^2(x) = \begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline 0 & 0 \\ \hline \end{array}$$

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- Let $x, y \in A^{\mathbb{Z}^d}$ be asymptotic.
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$$\Delta_p(x, y) = \sum_{u \in F - S} 1_{[p]}(\sigma^u(y)) - 1_{[p]}(\sigma^u(x)).$$

We say an asymptotic pair x, y is indistinguishable if $\Delta_p(x, y) = 0$ for every pattern p .

Example: Let $d = 2$ and $S = \{(0, 0), (0, 1), (1, 0), (2, 0)\}$.

x	y
1 0 2 2 1 0 2 1 0 2 1 1 0 2 1	1 0 2 2 1 0 2 1 0 2 1 1 0 2 1
0 2 1 1 0 2 1 0 2 1 0 2 2 1 0	0 2 1 1 0 2 1 0 2 1 0 2 2 1 0
2 1 0 2 2 1 0 2 1 0 2 1 1 0 2	2 1 0 2 2 1 0 2 1 0 2 1 1 0 2
1 0 2 1 1 0 2 1 0 2 1 0 2 2 1	1 0 2 1 1 0 2 1 0 2 1 0 2 2 1
0 2 1 0 2 2 1 0 2 1 0 2 1 1 0	0 2 1 0 2 2 1 0 2 1 0 2 1 1 0
2 1 0 2 1 1 0 2 1 0 2 1 0 2 2	2 1 0 2 1 1 0 2 1 0 2 1 0 2 2
1 0 2 1 0 2 2 1 0 2 1 0 2 1 1	1 0 2 1 0 2 2 1 0 2 1 0 2 1 1
0 2 1 0 2 1 1 0 2 1 0 2 1 0 2	0 2 1 0 2 1 0 2 2 1 0 2 1 0 2
2 1 0 2 1 0 2 2 1 0 2 1 0 2 1	2 1 0 2 1 0 2 1 1 0 2 1 0 2 1
1 0 2 1 0 2 1 0 2 2 1 0 2 1 0	1 0 2 1 0 2 1 0 2 2 1 0 2 1 0
2 2 1 0 2 1 0 2 1 1 0 2 1 0 2	2 2 1 0 2 1 0 2 1 1 0 2 1 0 2
1 1 0 2 1 0 2 1 0 2 2 1 0 2 1	1 1 0 2 1 0 2 1 0 2 2 1 0 2 1
0 2 2 1 0 2 1 0 2 1 1 0 2 1 0	0 2 2 1 0 2 1 0 2 1 1 0 2 1 0
2 1 1 0 2 1 0 2 1 0 2 2 1 0 2	2 1 1 0 2 1 0 2 1 0 2 2 1 0 2
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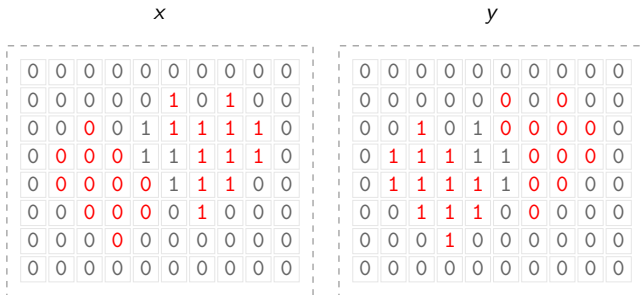
So for every pattern p with support S , we have $\Delta_p(x, y) = 0$.

Examples:

- (x, x) for any $x \in A^{\mathbb{Z}^d}$ is an indistinguishable asymptotic pair. We call it **trivial**.

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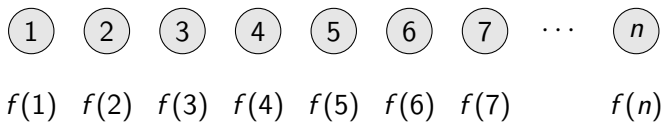
- (x, x) for any $x \in A^{\mathbb{Z}^d}$ is an indistinguishable asymptotic pair. We call it **trivial**.
- If $x, y \in A^{\mathbb{Z}^d}$ are asymptotic and on the same orbit ($\sigma^n(y) = x$ for some $n \in \mathbb{Z}^d$) then they are indistinguishable.



Does there exist indistinguishable asymptotic pairs which are not on the same orbit?

Motivation

Consider n balls with real weights given by a map f .



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What is the probability distribution $\mu = (\mu_1, \dots, \mu_n)$ on $\{1, \dots, n\}$ that maximizes entropy plus average weight?

$$\max_{\mu} \left(H(\mu) + \int f d\mu \right) = \max_{\mu} \sum_{i=1}^n (-\mu_i \log(\mu_i) + f(i)\mu_i).$$

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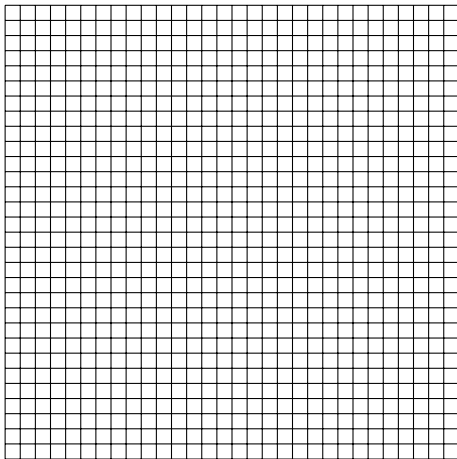
$$\max_{\mu} \left(H(\mu) + \int f d\mu \right) = \max_{\mu} \sum_{i=1}^n (-\mu_i \log(\mu_i) + f(i)\mu_i).$$

Answer: Boltzmann's distribution.

$$\mu_k = \frac{\exp(f(k))}{\sum_{i=1}^n \exp(f(i))}.$$

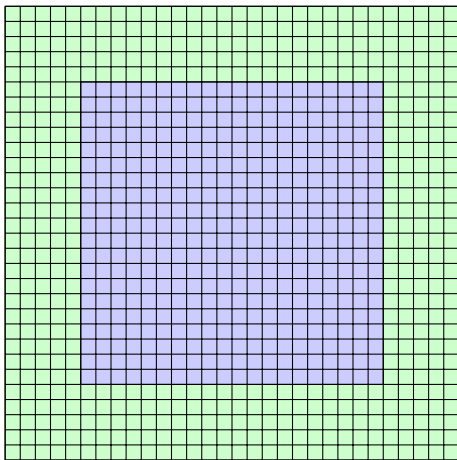
We can extend this idea to sets of configurations, yielding the notion of **Gibbs measures**.

Gibbs measures



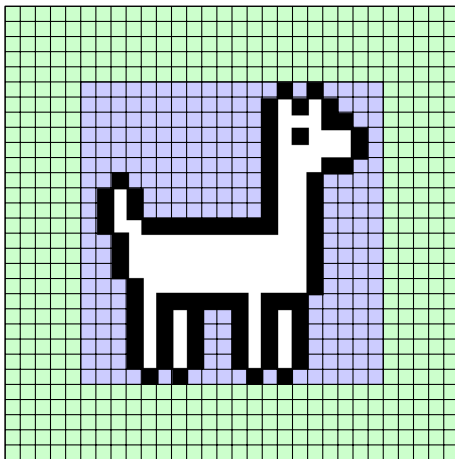
A measure is Gibbs if it follows Boltzmann's distribution on its asymptotic relation.

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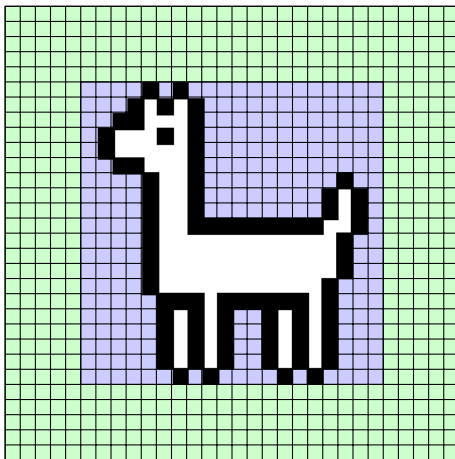
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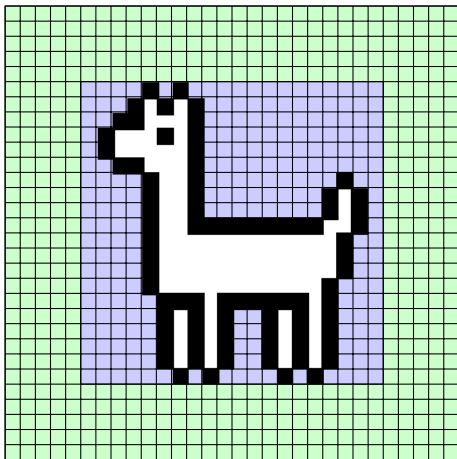
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Gibbs measures



$\mu(\text{horse} | \square)$ and $\mu(\square | \text{horse})$ follow Boltzmann's distribution for some f .

Gibbs Measures

Denote the set of all asymptotic pairs (x, y) by \mathcal{A} . The Boltzmann distribution of a Gibbs measure is determined by a **cocycle** $\Psi: \mathcal{A} \rightarrow \mathbb{R}$, that is, a map which satisfies:

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Example: if all configurations all equally likely (that is, there is no associated weight) we obtain the cocycle $\Psi = 0$ and the sole Gibbs measure for Ψ is the uniform Bernoulli measure on $A^{\mathbb{Z}^d}$.

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- ④ **An asymptotic pair gives the trivial linear functional if and only if it is indistinguishable.**

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Theorem (SB + SL + ŠS, 2021)

Yes. We completely characterize them on \mathbb{Z} . They are closely connected to Sturmian codings of irrational rotations.

Basic properties of indistinguishable pairs:

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In particular, it suffices to check the property on rectangular patterns (or words in the case of \mathbb{Z}).

Indistinguishable asymptotic pairs are invariant under actions of the affine group of \mathbb{Z}^d .

In particular, they are invariant under the shift map.

Basic properties of indistinguishable pairs:

If (x, y) is an indistinguishable asymptotic pair and τ is a sliding block code, then $(\tau(x), \tau(y))$ is an indistinguishable asymptotic pair.

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If $(x_n, y_n)_{n \in \mathbb{N}}$ converges in the asymptotic relation to (x, y) and every pair (x_n, y_n) is indistinguishable, then (x, y) is indistinguishable.

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- 4 As $\bigcap_{n \in \mathbb{N}} [p_n] = \sigma^k(x)$, we conclude that $\sigma^k(x) = \sigma^m(y)$.

The case of \mathbb{Z}

On \mathbb{Z} life is easier (as opposed to \mathbb{Z}^d with $d \geq 2$):

Let (x, y) be a non-trivial indistinguishable asymptotic pair. If a pattern p occurs in x , then it occurs intersecting their difference set.

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Corollary: If x, y are indistinguishable with difference set $F = \llbracket 0, k-1 \rrbracket$ then their word complexity satisfies

$$|\mathcal{L}_n(x)| \leq k + n - 1.$$

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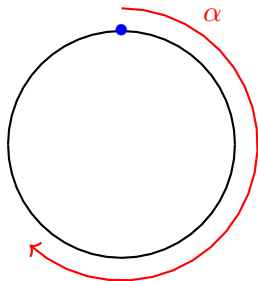
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Thus x, y must be Sturmian configurations!

Let $\alpha \in [0, 1] \setminus \mathbb{Q}$. Consider the rotation $R_\alpha: S^1 \rightarrow S^1$ given by $R_\alpha(x) = x + \alpha$.

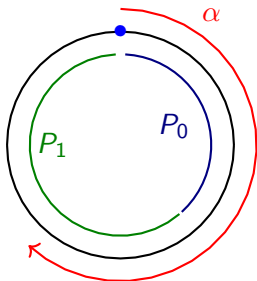
Consider the partition $\mathcal{P} = \{P_0 = [0, 1 - \alpha), [1 - \alpha, 1)\}$.



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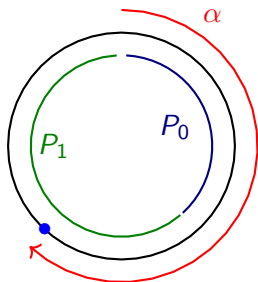


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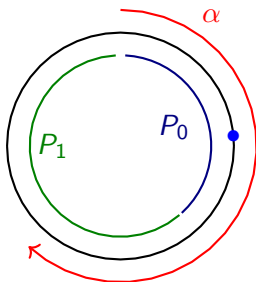


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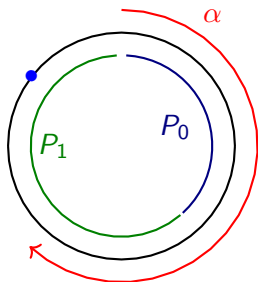


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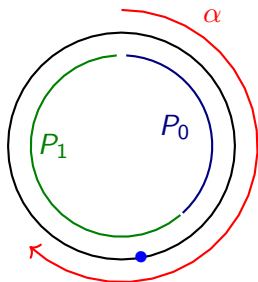


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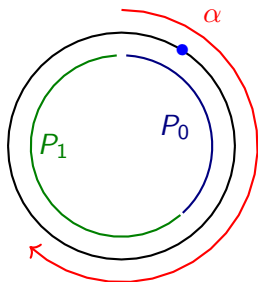


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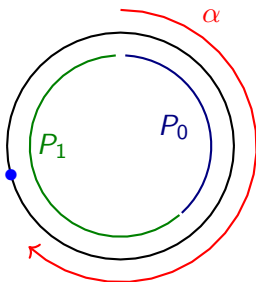


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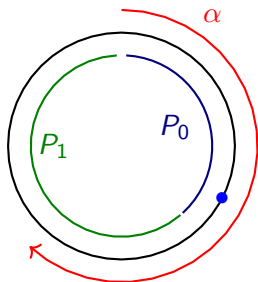


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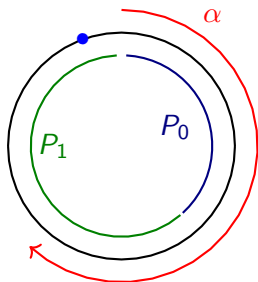


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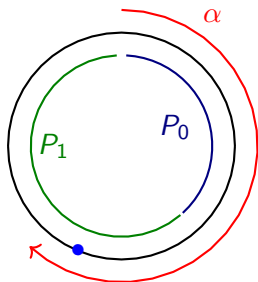


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The pair (c_α, c'_α) is indistinguishable. In fact, every pattern in their language occurs exactly once intersecting each of their difference sets.

Theorem: B, Labbé and Starosta

Let $x, y \in \{0, 1\}^{\mathbb{Z}}$ and assume that x is recurrent. The following are equivalent:

- (x, y) is an indistinguishable asymptotic pair with difference set $F = \{-1, 0\}$ such that $x_{-1}x_0 = 10$ and $y_{-1}y_0 = 01$
- There exists $\alpha \in [0, 1] \setminus \mathbb{Q}$ such that $x = c_\alpha$ and $y = c'_\alpha$ are the lower and upper characteristic Sturmian sequences of slope α .

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The general case can be obtained from Sturmians using shifts and substitutions.

Theorem: B, Labbé and Starosta

Let A be a finite alphabet and $x, y \in A^{\mathbb{Z}}$ a non-trivial asymptotic pair. Then x, y is indistinguishable if and only if either

- x is recurrent and there exists $\alpha \in [0, 1] \setminus \mathbb{Q}$, a substitution $\varphi: \{0, 1\} \rightarrow A^+$ and an integer $m \in \mathbb{Z}$ such that

$$\{x, y\} = \{\sigma^m \varphi(\sigma(c_\alpha)), \sigma^m \varphi(\sigma(c'_\alpha))\},$$

- x is not recurrent and there exists a substitution $\varphi: \{0, 1\} \rightarrow A^+$ and an integer $m \in \mathbb{Z}$ such that

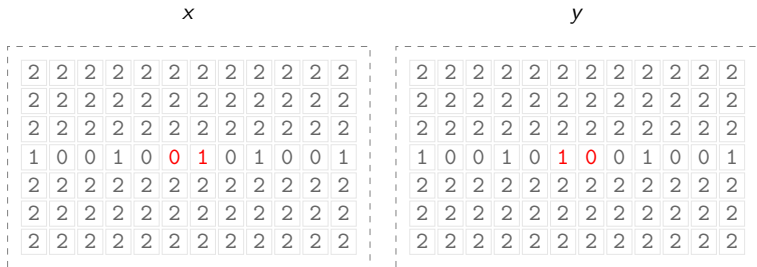
$$\{x, y\} = \{\sigma^m \varphi(\infty 0.10^\infty), \sigma^m \varphi(\infty 0.010^\infty)\}.$$

What about $d \geq 2$?

Things are much harder:

- Patterns may occur without intersecting the difference set.
- recurrent indistinguishable pairs may not be uniformly recurrent.
- Substitutions do not help reduce the problem to a small size difference set (no good notion of derived sequences).
- In general, there is no complexity bound.

Example:



The horizontal configuration is a 1-dimensional indistinguishable pair, everything else is the symbol 2.

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x													y												
2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2			
2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2			
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1	0	0	1	0	0	1	0	1	0	0	1	0	1	0	0	1	0	1	0	0	1	0			
2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2			
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The horizontal configuration is a 1-dimensional indistinguishable pair, everything else is the symbol 2.

- Recurrent but not uniformly recurrent.
- Some patterns do not occur in the difference set.

Theorem: B and Labbé.

Let $d \geq 1$ and $x, y \in \{0, \dots, d\}^{\mathbb{Z}^d}$ be an asymptotic pair with difference set $F = \{0, -e_1, \dots, -e_d\}$. TFAE:

- 1 The asymptotic pair (x, y) is indistinguishable, satisfies the **flip condition** and x is uniformly recurrent.
- 2 There exists a totally irrational vector $\alpha \in [0, 1)^d$ such that $x = c_\alpha$ and $y = c'_\alpha$ are the **characteristic multidimensional Sturmian configurations** of slope α .

Theorem: B and Labbé.

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- 1 The asymptotic pair (x, y) is indistinguishable.
- 2 For every nonempty finite connected subset $S \subset \mathbb{Z}^d$ and $p \in \mathcal{L}_S(x) \cup \mathcal{L}_S(y)$, p intersects the difference set F exactly once in both x and y .
- 3 For every nonempty finite connected subset $S \subset \mathbb{Z}^d$, we have

$$|\mathcal{L}_S(x)| = |\mathcal{L}_S(y)| = |F - S|.$$

- 4 There exists a totally irrational vector $\alpha \in [0, 1)^d$ such that $x = c_\alpha$ and $y = c'_\alpha$ are the **characteristic multidimensional Sturmian configurations** of slope α .

Multidimensional Sturmian Configurations

Let $(\alpha_1, \dots, \alpha_d) \in \mathbb{R}^d$ and consider the associated rotations $R_{\alpha_1}, \dots, R_{\alpha_d}$.

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- Consider the partition \mathcal{W} of S^1 generated by refining the Sturmian partitions $\mathcal{P}_i = \{[0, 1 - \alpha_i), [1 - \alpha_i, 1)\}$ for every $1 \leq i \leq d$.

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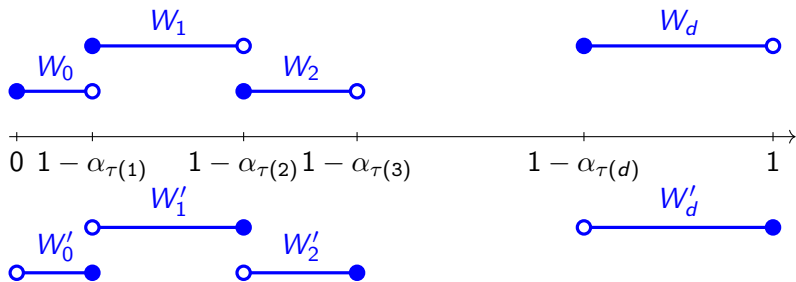
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The characteristic Sturmian configurations c_α, c'_α of slope α are the codings of 0 under the \mathbb{Z}^d -orbit generated by the rotations R_{α_i} and the partitions \mathcal{W} and \mathcal{W}' respectively.

Given $\alpha = (\alpha_1, \dots, \alpha_d) \in [0, 1]^d$, let $\tau \in S_d$ such that

$$1 \geq \alpha_{\tau(1)} \geq \alpha_{\tau(2)} \geq \dots \geq \alpha_{\tau(d)} \geq 0.$$

Then the partitions \mathcal{W} and \mathcal{W}' are given by:



Explicitly, given $\alpha = (\alpha_1, \dots, \alpha_d)$ we have

$$\begin{aligned} c_\alpha : \mathbb{Z}^d &\rightarrow \{0, \dots, \mathbf{d}\} \\ n &\mapsto \sum_{i=1}^d (\lfloor \alpha_i + n \cdot \alpha \rfloor - \lfloor n \cdot \alpha \rfloor), \end{aligned}$$

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The configurations c_α, c'_α are asymptotic with difference set $F = \{0, -e_1, \dots, -e_d\}$.

Recall the picture from the beginning:

x

1	0	2	2	1	0	2	1	0	2	1	1	0	2	1
0	2	1	1	0	2	1	0	2	1	0	2	2	1	0
2	1	0	2	2	1	0	2	1	0	2	1	1	0	2
1	0	2	1	1	0	2	1	0	2	1	0	2	2	1
0	2	1	0	2	2	1	0	2	1	0	2	1	1	0
2	1	0	2	1	1	0	2	1	0	2	1	0	2	2
1	0	2	1	0	2	2	1	0	2	1	0	2	1	1
0	2	1	0	2	1	1	0	2	1	0	2	1	0	2
2	1	0	2	1	0	2	2	1	0	2	1	0	2	1
1	0	2	1	0	2	1	0	2	2	1	0	2	1	0
2	2	1	0	2	1	0	2	1	1	0	2	1	0	2
1	1	0	2	1	0	2	1	0	2	2	1	0	2	1
0	2	2	1	0	2	1	0	2	1	1	0	2	1	0
2	1	1	0	2	1	0	2	1	0	2	2	1	0	2
1	0	2	2	1	0	2	1	0	2	1	1	0	2	1

y

1	0	2	2	1	0	2	1	0	2	1	1	0	2	1
0	2	1	1	0	2	1	0	2	1	0	2	2	1	0
2	1	0	2	2	1	0	2	1	0	2	1	1	0	2
1	0	2	1	1	0	2	1	0	2	1	0	2	2	1
0	2	1	0	2	2	1	0	2	1	0	2	1	1	0
2	1	0	2	1	1	0	2	1	0	2	1	0	2	2
1	0	2	1	0	2	2	1	0	2	1	0	2	1	1
0	2	1	0	2	1	0	2	2	1	0	2	1	0	2
2	1	0	2	1	0	2	2	1	0	2	1	0	2	1
1	0	2	1	0	2	1	0	2	2	1	0	2	1	0
2	2	1	0	2	1	0	2	1	1	0	2	1	0	2
1	1	0	2	1	0	2	1	0	2	2	1	0	2	1
0	2	2	1	0	2	1	0	2	1	1	0	2	1	0
2	1	1	0	2	1	0	2	1	0	2	2	1	0	2
1	0	2	2	1	0	2	1	0	2	1	1	0	2	1

We have $x = c_\alpha$ and $y = c'_\alpha$ respectively for

$$\alpha = \left(\frac{\sqrt{2}}{2}, \sqrt{19} - 4 \right).$$

Flip Condition

Let $x, y \in \{0, \dots, d\}^{\mathbb{Z}^d}$ be an asymptotic pair. We say it satisfies the **flip condition** if:

- 1 the difference set of x and y is $F = \{0, -e_1, \dots, -e_d\}$,
- 2 the restriction $x|_F$ is a bijection $F \rightarrow \{0, \dots, d\}$ such that $x_0 = 0$,
- 3 $y_n = x_n - 1 \pmod{d+1}$ for every $n \in F$.

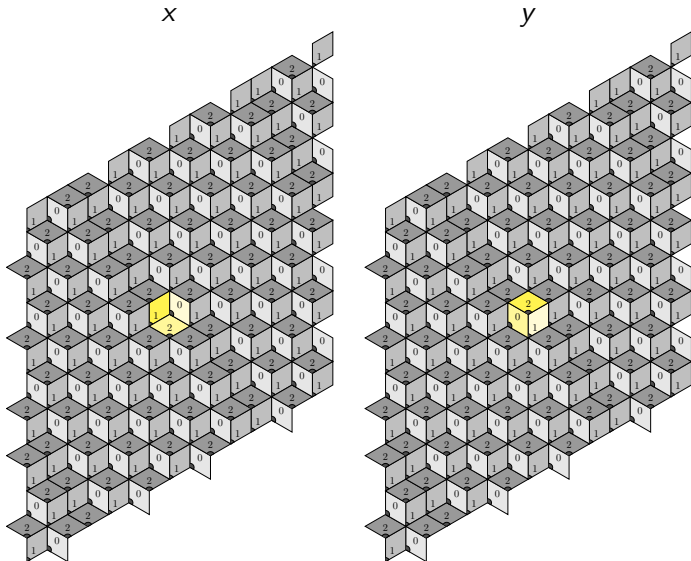
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The conditions above induce a permutation on $\{0, \dots, d\}$ defined by $y_n \mapsto x_n$ for every $n \in F$, which is the cyclic permutation $(0, 1, \dots, d)$ of the alphabet.

The flip condition can be interpreted as flipping the unit hypercube on a co-dimension 1 discrete subspace.



Theorem: B and Labbé.

Let $d \geq 1$ and $x, y \in \{0, \dots, d\}^{\mathbb{Z}^d}$ be an asymptotic pair such that x is uniformly recurrent and which satisfies the **flip condition** with difference set $F = \{0, -e_1, \dots, -e_d\}$. TFAE:

- 1 The asymptotic pair (x, y) is indistinguishable.
- 2 For every nonempty finite connected subset $S \subset \mathbb{Z}^d$ and $p \in \mathcal{L}_S(x) \cup \mathcal{L}_S(y)$, p intersects the difference set F exactly once in both x and y .
- 3 For every nonempty finite connected subset $S \subset \mathbb{Z}^d$, we have

$$|\mathcal{L}_S(x)| = |\mathcal{L}_S(y)| = |F - S|.$$

- 4 There exists a totally irrational vector $\alpha \in [0, 1)^d$ such that $x = c_\alpha$ and $y = c'_\alpha$ are the **characteristic multidimensional Sturmian configurations** of slope α .

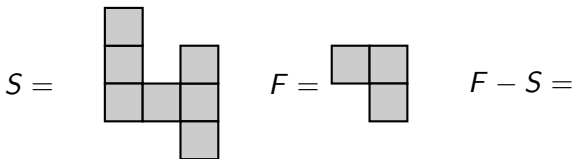
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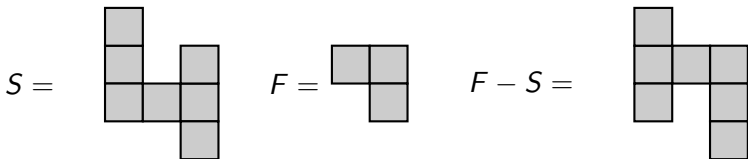
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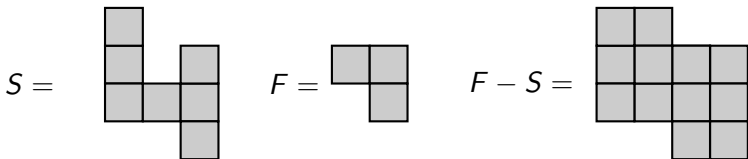
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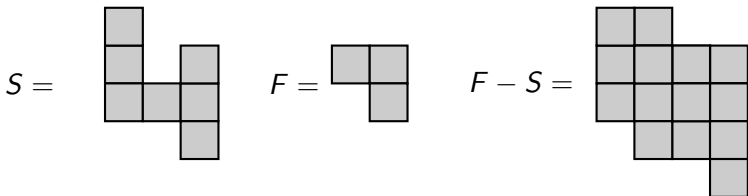
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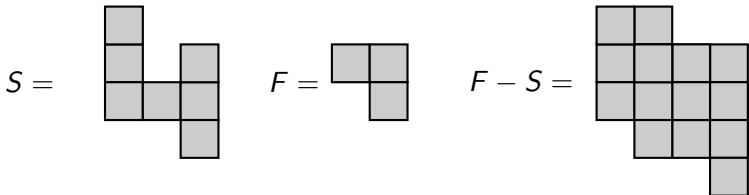
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There are exactly 14 patterns with support S on a 2-dimensional Sturmian configuration.

Let $(m_1, \dots, m_d) \in \mathbb{N}^d$ and consider the rectangle

$$R = \prod_{i=1}^d \llbracket 0, m_i - 1 \rrbracket.$$

In this case we get a beautiful formula for the rectangular complexity of a multidimensional Sturmian configuration x :

$$|\mathcal{L}_R(x)| = |\mathcal{L}_{(m_1, \dots, m_d)}(x)| = m_1 \cdots m_d \left(1 + \frac{1}{m_1} + \cdots + \frac{1}{m_d} \right).$$

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▷ For $d = 1$ we recover $L_n(x) = n + 1$.

Thanks!

 **Indistinguishable asymptotic pairs and multidimensional Sturmian configurations.**

S. Barbieri, S. Labbé

<https://arxiv.org/abs/2204.06413>

 **A characterization of Sturmian sequences by indistinguishable asymptotic pairs**

S. Barbieri, S. Labbé, Š. Starosta

<https://doi.org/10.1016/j.ejc.2021.103318>